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香港交易及結算所有限公司  
HONG KONG EXCHANGES AND CLEARING LIMITED  
(Incorporated in Hong Kong with limited liability)  
(Stock Code: 388)

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## **Change in the Holding of Executive Office**

Hong Kong Exchanges and Clearing Limited (“HKEX”) announces that Ms Chan Yiting, Bonnie and Mr Yiu Ka Yan, Wilfred have been appointed as Co-Chief Operating Officers with effect from 1 February 2023. Such appointments have been approved by the Securities and Futures Commission (“SFC”), pursuant to section 70 of the Securities and Futures Ordinance. HKEX also announces that Ms Ng Kit Shuen, Katherine has been appointed as Head of Listing with effect from 1 February 2023.

Ms Chan, who has extensive regulatory and operations experience in managing the Listing Division, will oversee the Chief China Economist’s Office, Corporate Communications, Group Strategy, Human Resources, Mainland Development and LME Clear Limited, a wholly-owned subsidiary of HKEX.

As Co-Chief Operating Officer and Head of Equities, Mr Yiu will continue to be responsible for overseeing the operation of the cash and derivatives trading business and the clearing and depository business of HKEX. Alongside this appointment, Mr Yiu will continue to hold positions as the Chief Executive of both The Stock Exchange of Hong Kong Limited and Hong Kong Futures Exchange Limited, which are direct wholly-owned subsidiaries of HKEX.

Ms Chan, aged 53, has over 25 years of experience in legal and financial services. She joined HKEX as Head of Listing in January 2020. Prior to joining HKEX, she was a partner of Davis Polk & Wardwell LLP from 2010 to 2019, and served as the Head of IPO Transactions Department of the Listing Division of HKEX from 2007 to 2010.

Ms Chan has been a member of the Board of Inland Revenue of the Government of the Hong Kong Special Administrative Region since 2017 and an ex-officio member of the Standing Committee on Company Law Reform since 2020. She holds a Bachelor of Laws from the University of Hong Kong and a Master of Laws from Harvard Law School.

Ms Ng, aged 49, joined HKEX in 2013 and has been Head of Policy, Listing Division since she joined. Prior to joining HKEX, she served as Political Assistant to the Secretary for Financial Services and the Treasury of the Government of the Hong Kong Special Administrative Region from 2008 to 2012.

Ms Ng holds a Bachelor of Arts and a Master of Arts in Law from the University of Cambridge.

Mr Yiu, aged 53, has over 25 years' experience in international capital markets. He joined HKEX in April 2019 and became sole Chief Operating Officer of HKEX on 26 November 2022. Prior to joining HKEX, he was Deputy Chief Executive Officer and Chief Operating Officer of Beijing Gao Hua Securities Company Limited, a strategic partner of Goldman Sachs, and also Chairman of Qian Kun Futures Co Ltd. Prior to this, Mr Yiu was Managing Director, FICC (fixed income, currency and commodities) at Goldman Sachs.

Mr Yiu has been a member of the Securities Compensation Fund Committee of the SFC since 2020. Mr Yiu holds a Bachelor of Science in Computer Science and a Bachelor of Business Administration from the University of Washington, a Master of Science in Computer Science from Stanford University, and a Master of Business Administration from Stern School of Business, New York University.

By Order of the Board

**Hong Kong Exchanges and Clearing Limited**

**David Fu**

Group Company Secretary

Hong Kong, 16 January 2023

As at the date of this announcement, HKEX's Board of Directors comprises 12 Independent Non-executive Directors, namely Mrs Laura May-Lung CHA (Chairman), Mr Nicholas Charles ALLEN, Mr Apurv BAGRI, Mr CHEAH Cheng Hye, Ms CHEUNG Ming Ming, Anna, Mrs CHOW WOO Mo Fong, Susan, Mr Rafael GIL-TIENDA, Mr HUNG Pi Cheng, Benjamin, Ms LEUNG Nisa Bernice Wing-Yu, Mr LEUNG Pak Hon, Hugo, Mr YIU Kin Wah, Stephen and Mr ZHANG Yichen, and one Executive Director, Mr Alejandro Nicolas AGUZIN, who is also the Chief Executive of HKEX.